

Perturbative Stability of the QCD Predictions  
for the  
Ratio  $R = F_L / F_T$  and Azimuthal Asymmetry  
in Heavy-Quark Leptoproduction

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**Two novel challenging proposals for heavy quark physics in DIS  
(COMPASS, EIC, eRHIC, LHeC...)**

## Outline:

- Stability of the QCD predictions for  $R = F_L / F_T$  and azimuthal  $\cos 2\varphi$  asymmetry in heavy quark leptonproduction :
  - Perturbative stability;
  - Parametric stability;
  - Stability under DGLAP evolution
- Analytic hadron-level results for  $F_L / F_T$  and  $\cos 2\varphi$  asymmetry at low  $x \ll 1$
- Application of the stability:
  - ✓ Extraction of the structure functions from (experimentally measured) reduced cross sections;
  - ✓ Determination of the charm content of the proton

# References

## 1. Stability of the $\cos 2\varphi$ asymmetry:

- N.Ya.Ivanov, A.Capella, A.B.Kaidalov, Nucl. Phys. **B** 586 (2000), 382
- N.Ya.Ivanov, Nucl. Phys. **B** 615 (2001), 266
- N.Ya.Ivanov, P.E.Bosted, K.Griffioen, S.E.Rock, Nucl. Phys. **B** 650 (2003), 271
- N.Ya.Ivanov, Nucl. Phys. **B** 666 (2003), 88
- L.N.Ananikyan and N.Ya.Ivanov, Nucl. Phys. **B** 762 (2007), 256
- L.N.Ananikyan and N.Ya.Ivanov, Phys. Rev. **D** 75 (2007), 014010

## 2. Stability of the Calan-Gross ratio $R = F_L / F_T$ :

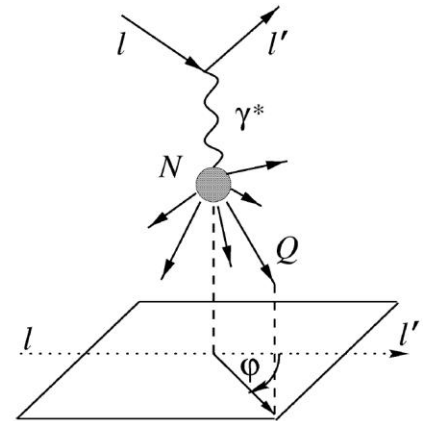
- N.Ya.Ivanov and B.A. Kniehl, Eur. Phys. J. **C** 59 (2009), 647
- N.Ya.Ivanov, Nucl. Phys. **B** 814 (2009), 142
- N.Ya.Ivanov, arXiv:1010.5424 [hep-ph]

# 1. Definitions and Cross Sections

We consider the Callan-Gross ratio  $R = F_L / F_T$  and azimuthal  $\cos 2\varphi$  asymmetry,  $A = 2xF_A / F_2$ , in heavy-quark leptonproduction:

$$l(l) + N(p) \rightarrow l(l - q) + Q(p_Q) + X[\bar{Q}](p_X)$$

Corresponding cross section is:



$$\frac{d^3\sigma_{lN}}{dx dQ^2 d\varphi} = \frac{\alpha_{em}^2}{xQ^4} \left\{ \left[ 1 + (1 - y)^2 \right] F_2(x, Q^2) - 2xy^2 F_L(x, Q^2) \right. \\ \left. + 4x(1 - y) F_A(x, Q^2) \cos 2\varphi + 4x(2 - y) \sqrt{2(1 - y)} F_I(x, Q^2) \cos \varphi \right\}$$

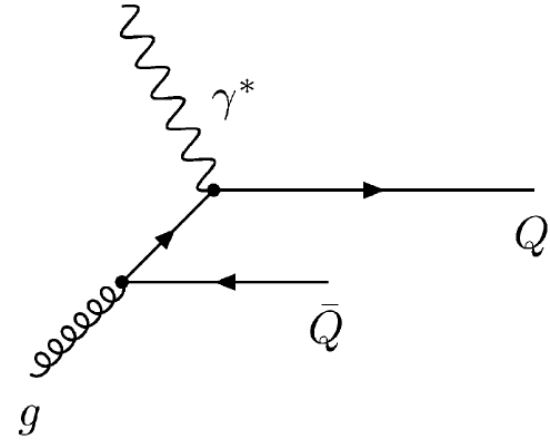
where  $F_2(x, Q^2) = 2x(F_T + F_L)$  and  $x, y, Q^2$  are usual DIS observables

The leading mechanism is the photon-gluon fusion

$$\gamma^*(q) + g(k_g) \rightarrow Q(p_Q) + \bar{Q}(p_{\bar{Q}})$$

Leveille, Weiler, PRD 24 (1981) 1789

Watson, Z. Phys. C 12 (1982) 123



The NLO contributions are usually presented in the form:

$$\hat{\sigma}_k(z, \lambda, m^2, \mu^2) = \frac{e_Q^2 \alpha_{em} \alpha_s}{m^2} \left\{ c_k^{(0,0)}(z, \lambda) + 4\pi \alpha_s \left[ c_k^{(1,0)}(z, \lambda) + c_k^{(1,1)}(z, \lambda) \ln \frac{\mu^2}{m^2} \right] \right\} + \mathcal{O}(\alpha_s^2)$$

Laenen, Riemersma, Smith, Neerven, NPB 392 (1993) 162

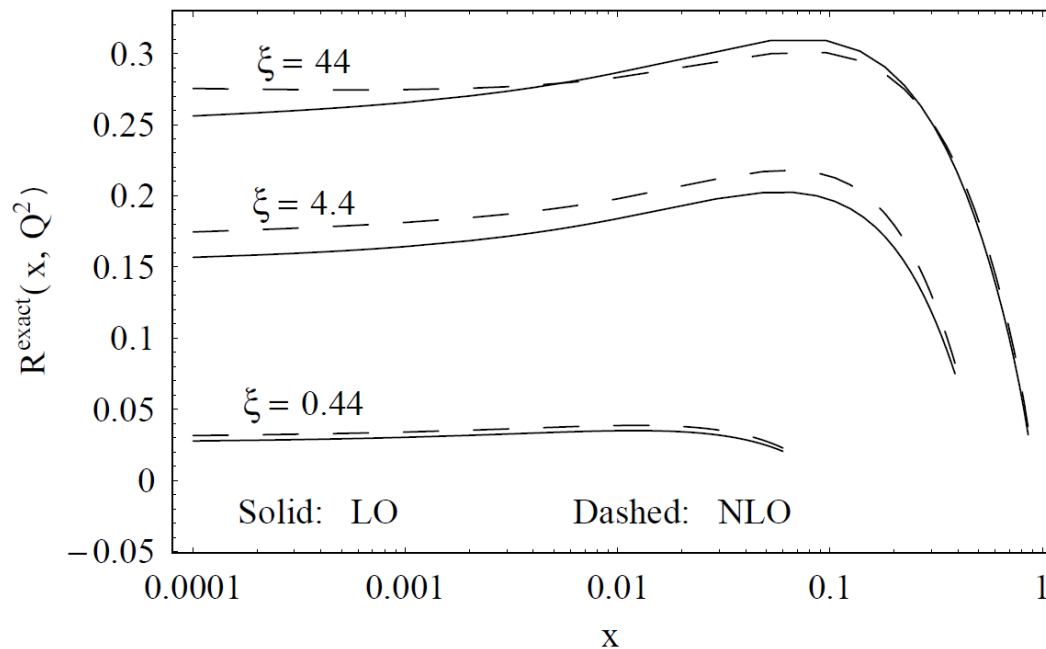
The hadron-level results are:

$$F_k(x, Q^2) = \frac{Q^2}{8\pi^2 \alpha_{em} x} \int_{x(1+4\lambda)}^1 dz g(z, \mu_F) \hat{\sigma}_k\left(\frac{x}{z}, \lambda, \mu\right)$$

$$k = T, L, A, I$$

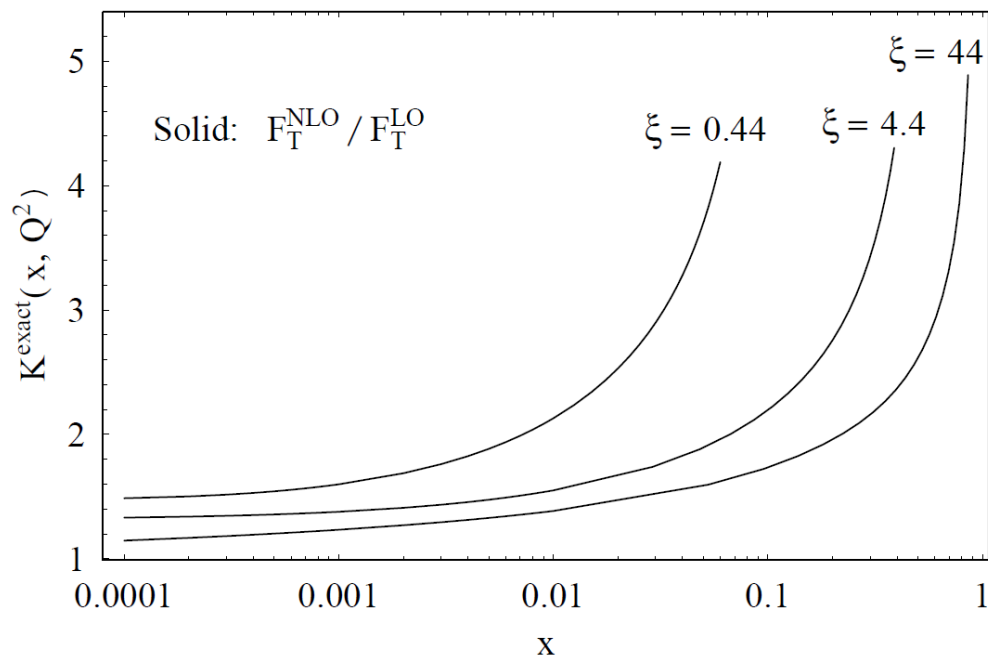
$$\mu = \mu_R = \mu_F = \sqrt{4m_c^2 + Q^2}$$

## 2. Perturbative Stability of $R = F_L / F_T$



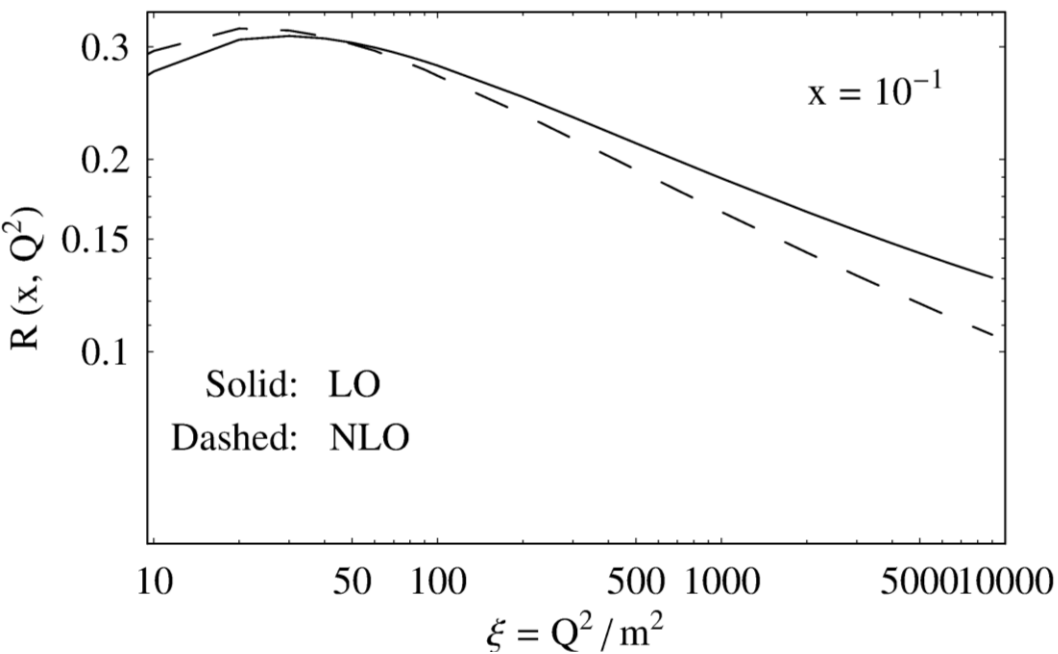
$$\xi = \frac{Q^2}{m^2}$$

$$R(x, Q^2) = \frac{F_L}{F_T}$$



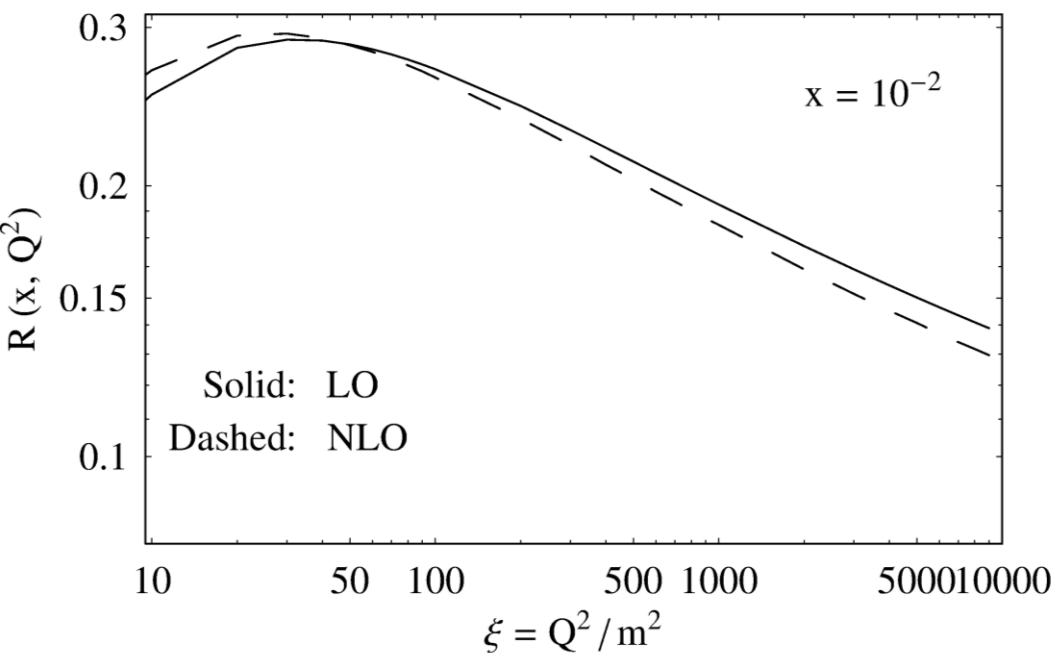
$$K(x, Q^2) = \frac{F_T^{\text{NLO}}}{F_T^{\text{LO}}}$$

## 2. Perturbative Stability of $R = F_L / F_T$

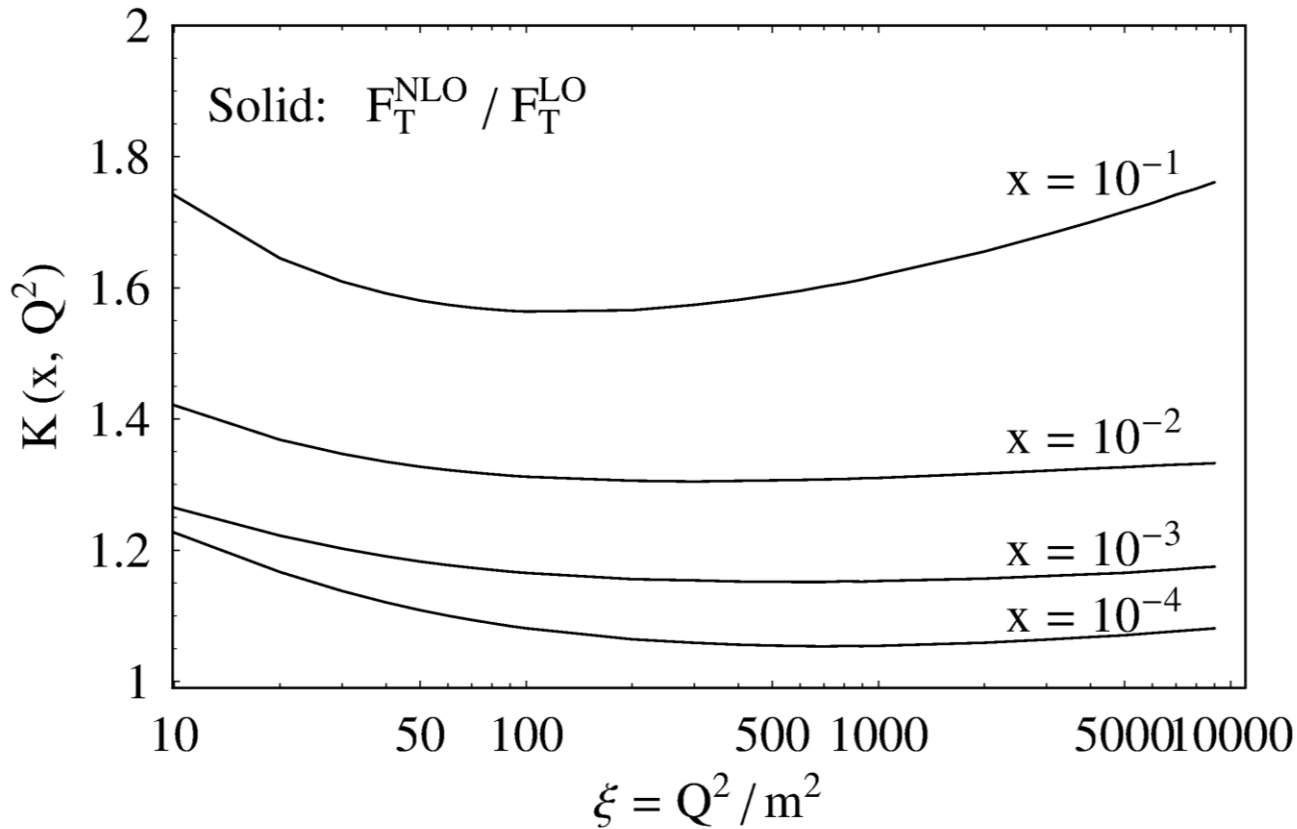


$$\xi = \frac{Q^2}{m^2}$$

$$R(x, Q^2) = \frac{F_L}{F_T}$$



## 2. Perturbative Instability of $F_T$

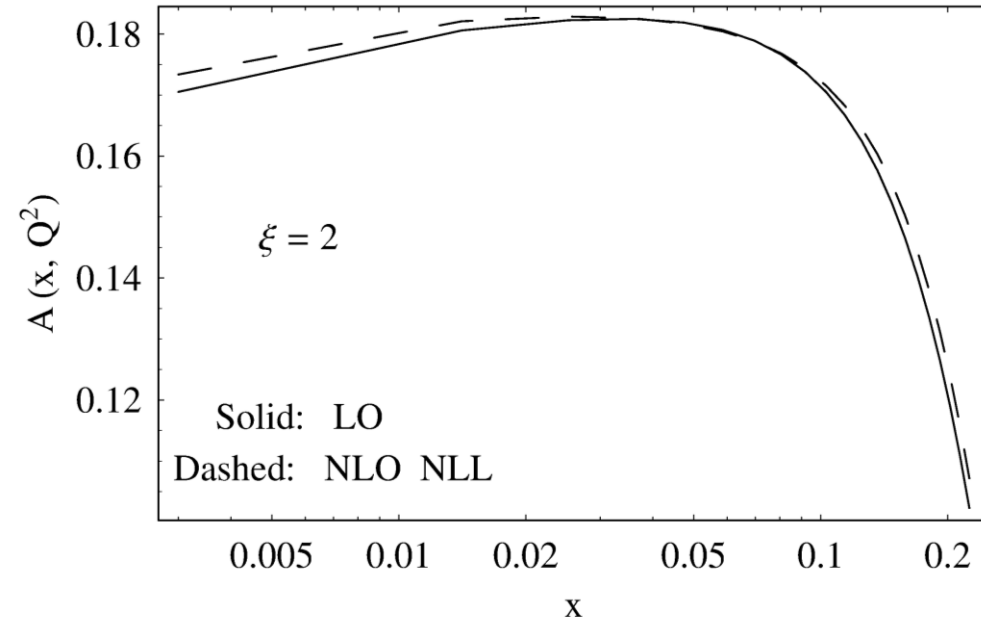


$$\xi = \frac{Q^2}{m^2}$$

$$K(x, Q^2) = \frac{F_T^{\text{NLO}}}{F_T^{\text{LO}}}$$

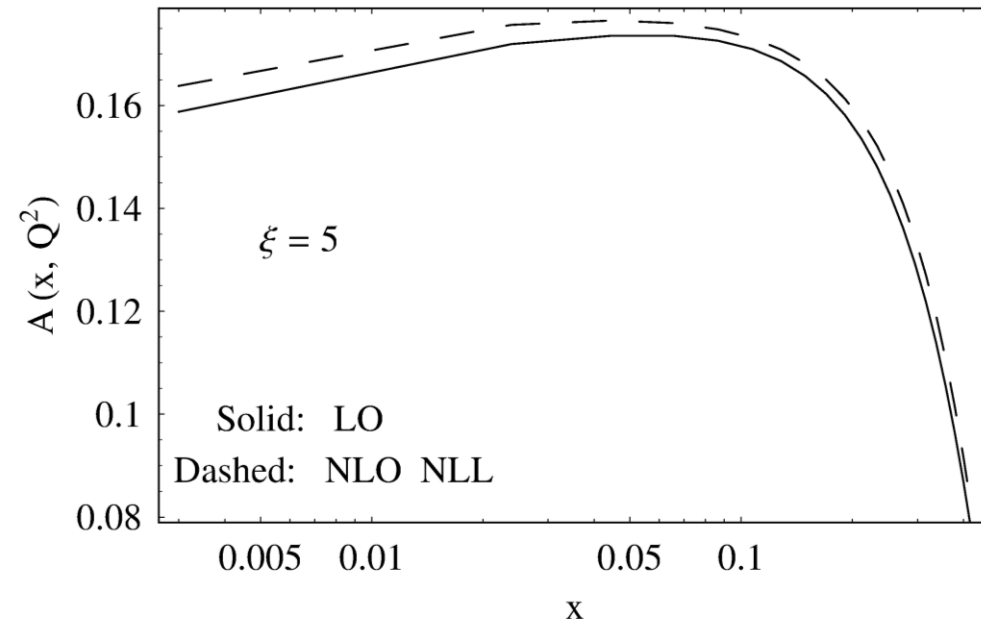


### 3. Perturbative Stability of $A = 2x F_A / F_2$



- Exact NLO results for  $A$  are not yet available.
- The soft-gluon (threshold) NLO NLL corrections are presented.

Laenen, Moch, PR D 59 (1999) 034027  
Ivanov, Kniehl, Eur. Phys. J. C 59 (2009) 647



$$\xi = \frac{Q^2}{m^2}$$

$$A(x, Q^2) = 2x \frac{F_A}{F_2}$$

## 4. Analytic LO Results for $R = F_L / F_T$

The low- $x$  behavior of cross sections is determined by the low- $x$  asymptotics of the gluon PDF:

$$g(x, Q^2) \xrightarrow{x \rightarrow 0} \frac{1}{x^{1+\delta}}$$

We derive compact low- $x$  approximation formulae for the ratio

$$R_2(x, Q^2) = 2x \frac{F_L}{F_2} = \frac{R}{1 + R} \qquad R(x, Q^2) = \frac{F_L}{F_T}$$

i.e. the quantity  $R_2^{(\delta)}(Q^2)$

$$R_2(x, Q^2) \stackrel{x \rightarrow 0}{\equiv} R_2^{(\delta)}(Q^2) + \mathcal{O}(x)$$

## 4. Analytic LO Results for $R_2 = 2x F_L / F_2$

$$g(x, Q^2) \xrightarrow{x \rightarrow 0} \frac{1}{x^{1+\delta}} \quad \lambda = \frac{m^2}{Q^2}$$

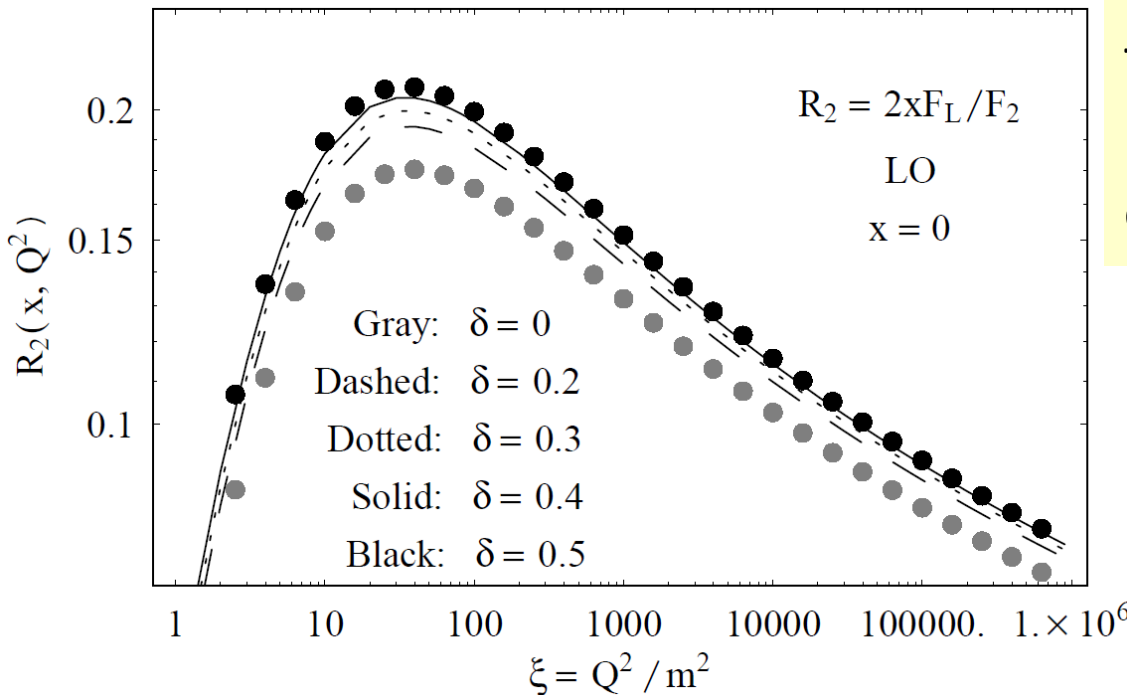
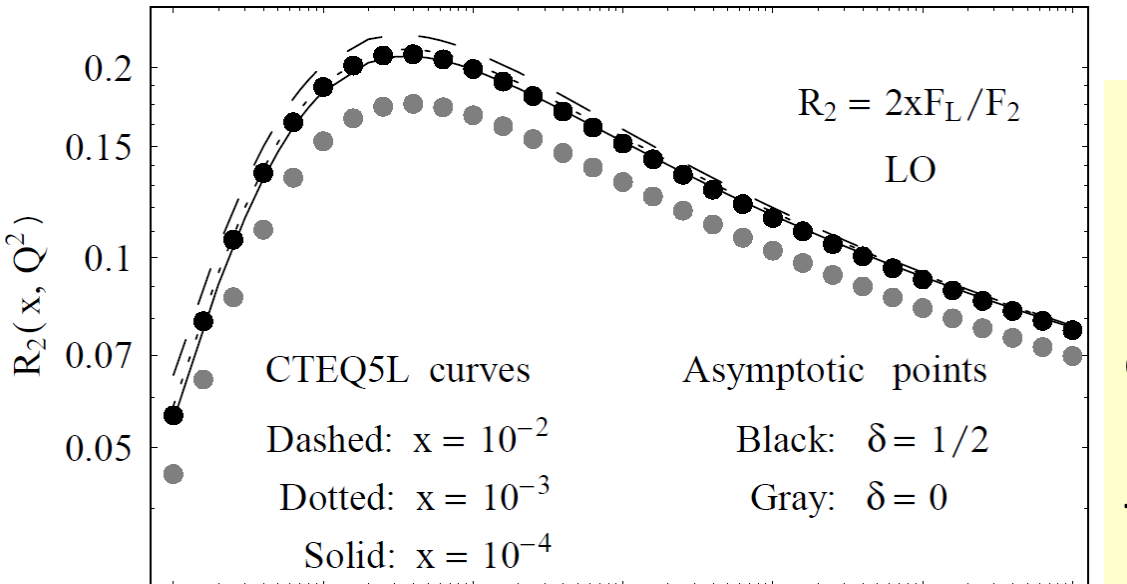
$$R_2^{(\delta)}(Q^2) = \frac{4 \left[ \frac{2+\delta}{3+\delta} \Phi \left( 1 + \delta, \frac{1}{1+4\lambda} \right) - (1 + 4\lambda) \Phi \left( 2 + \delta, \frac{1}{1+4\lambda} \right) \right]}{\left[ 1 + \frac{\delta(1-\delta^2)}{(2+\delta)(3+\delta)} \right] \Phi \left( \delta, \frac{1}{1+4\lambda} \right) - (1 + 4\lambda) \left( 4 - \delta - \frac{10}{3+\delta} \right) \Phi \left( 1 + \delta, \frac{1}{1+4\lambda} \right)}$$

Ivanov, Kniehl, Eur. Phys. J. C 59 (2009) 647

$$\Phi(r, z) = \frac{z^{1+r}}{1+r} \frac{\Gamma(1/2) \Gamma(1+r)}{\Gamma(3/2+r)} {}_2F_1 \left( \frac{1}{2}, 1+r; \frac{3}{2}+r; z \right)$$

$${}_2F_1(a, b; c; z) = \frac{\Gamma(c)}{\Gamma(a)\Gamma(b)} \sum_{n=0}^{\infty} \frac{\Gamma(a+n)\Gamma(b+n)}{\Gamma(c+n)} \frac{z^n}{n!}$$

# 6. Stability under DGLAP evolution



- QCD predictions for  $R_2^{(\delta)}(Q^2)$  depend weakly on  $\delta$  practically in the entire region of  $Q^2$  for  $0.2 < \delta < 0.9$
- Hadron-level predictions for  $R_2^{(\delta)}(Q^2)$  are stable not only under NLO corrections to the partonic cross sections, but also under the DGLAP evolution of the gluon PDF

$$g(x, Q^2) \xrightarrow{x \rightarrow 0} \frac{1}{x^{1+\delta}}$$

## 7. Applications: Extraction of Structure Functions

Usually, it is the so-called "reduced cross section" that can directly be measured in **DIS** experiments:

$$\tilde{\sigma}(x, Q^2) = \frac{1}{1 + (1 - y)^2} \frac{xQ^4}{2\pi\alpha_{\text{em}}^2} \frac{d^2\sigma_{lN}}{dx dQ^2} = F_2(x, Q^2) - \frac{2xy^2}{1 + (1 - y)^2} F_L(x, Q^2)$$

$$R_2(x, Q^2) = 2x \frac{F_L}{F_2} = \frac{R}{1 + R} \qquad R(x, Q^2) = \frac{F_L}{F_T}$$

We propose to use the following expression with the quantity  $R_2^{(\delta)}(Q^2)$  calculated in LO approximation:

$$\tilde{\sigma}(x, Q^2) = F_2(x, Q^2) \left[ 1 - \frac{y^2}{1 + (1 - y)^2} R_2(x, Q^2) \right]$$

## 7. Applications: Values of $F_2^c(x, Q^2)$ extracted from the HERA measurements

$Q^2$ (GeV <sup>2</sup> )	$x$ ( $\times 10^{-3}$ )	$y$	$\tilde{\sigma}^c$	Error (%)	$F_2^c$ (NLO) H1	$F_2^c$ (LO) $\delta = 0.5$	$F_2^c$ (LO) $\delta = 0.3$
12	0.197	0.600	0.412	18	$0.435 \pm 0.078$	$0.435 \pm 0.078$	$0.434 \pm 0.078$
12	0.800	0.148	0.185	13	$0.186 \pm 0.024$	$0.185 \pm 0.024$	$0.185 \pm 0.024$
25	0.500	0.492	0.318	13	$0.331 \pm 0.043$	$0.331 \pm 0.043$	$0.330 \pm 0.043$
25	2.000	0.123	0.212	10	$0.212 \pm 0.021$	$0.212 \pm 0.021$	$0.212 \pm 0.021$
60	2.000	0.295	0.364	10	$0.369 \pm 0.040$	$0.369 \pm 0.040$	$0.368 \pm 0.040$
60	5.000	0.118	0.200	12	$0.201 \pm 0.024$	$0.200 \pm 0.024$	$0.200 \pm 0.024$
200	0.500	0.394	0.197	23	$0.202 \pm 0.046$	$0.202 \pm 0.046$	$0.202 \pm 0.046$
200	1.300	0.151	0.130	24	$0.131 \pm 0.032$	$0.130 \pm 0.031$	$0.130 \pm 0.031$
650	1.300	0.492	0.206	27	$0.213 \pm 0.057$	$0.213 \pm 0.057$	$0.213 \pm 0.057$
650	3.200	0.200	0.091	31	$0.092 \pm 0.028$	$0.091 \pm 0.028$	$0.091 \pm 0.028$

## 8. Applications: Determination of the charm content of the proton

Our approach is based on following observations:

- The ratios  $R = F_L / F_T$  and  $A = 2xF_A / F_2$  in heavy-quark leptonproduction are perturbatively stable within the FFNS.
- The quantities  $F_L / F_T$  and  $2xF_A / F_2$  are sensitive to resummation of the mass logarithms of the type  $\alpha_s \ln(Q^2 / m^2)$  within the VFNS.

These facts together imply that (future) high- $Q^2$  data on the ratios  $R = F_L / F_T$  and  $A = 2xF_A / F_2$  will make it possible to probe the heavy-quark densities in the nucleon, and thus to compare the convergence of perturbative series within the FFNS and VFNS.

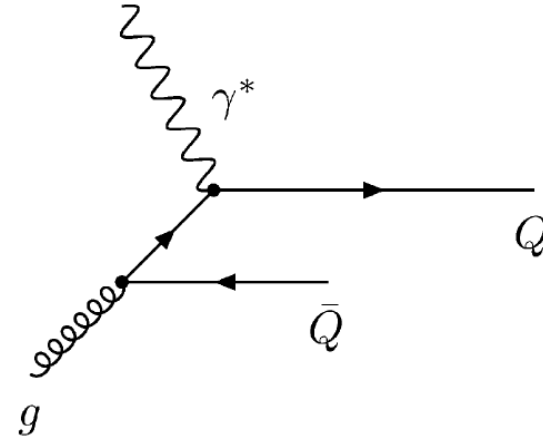
Remember that, within the VFNS, the heavy-quark content of the proton is due to resummation of the mass logarithms of the type  $\alpha_s \ln(Q^2 / m^2)$  and, for this reason, closely related to behavior of asymptotic perturbative series for high  $Q^2$ .

The leading mechanism is the photon-gluon fusion

$$\gamma^*(q) + g(k_g) \rightarrow Q(p_Q) + \bar{Q}(p_{\bar{Q}})$$

Leveille, Weiler, PRD 24 (1981) 1789

Watson, Z. Phys. C 12 (1982) 123



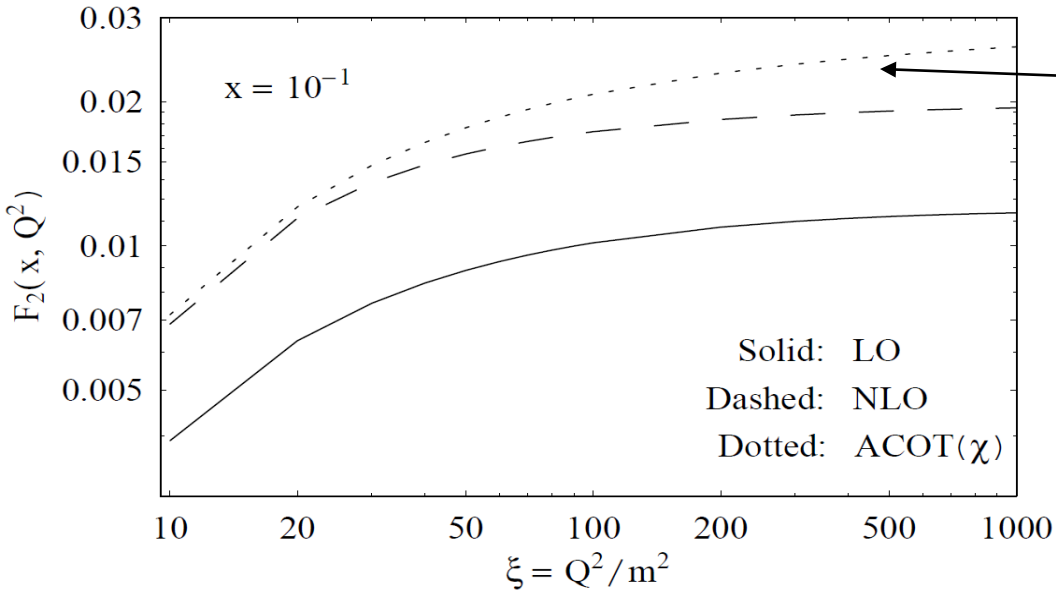
$$\begin{aligned} \hat{\sigma}_{2,g}^{(0)}(z, \lambda) &= \frac{\alpha_s}{2\pi} \hat{\sigma}_B(z) \left\{ \left[ (1-z)^2 + z^2 + 4\lambda z(1-3z) - 8\lambda^2 z^2 \right] \ln \frac{1+\beta_z}{1-\beta_z} \right. \\ &\quad \left. - [1 + 4z(1-z)(\lambda-2)] \beta_z \right\}, \\ \hat{\sigma}_{L,g}^{(0)}(z, \lambda) &= \frac{2\alpha_s}{\pi} \hat{\sigma}_B(z) z \left\{ -2\lambda z \ln \frac{1+\beta_z}{1-\beta_z} + (1-z) \beta_z \right\}, \\ \hat{\sigma}_{A,g}^{(0)}(z, \lambda) &= \frac{\alpha_s}{\pi} \hat{\sigma}_B(z) z \left\{ 2\lambda [1 - 2z(1+\lambda)] \ln \frac{1+\beta_z}{1-\beta_z} + (1-2\lambda)(1-z) \beta_z \right\}, \\ \hat{\sigma}_{I,g}^{(0)}(z, \lambda) &= 0 \end{aligned}$$

$$z = \frac{Q^2}{2q \cdot k_g}, \quad \lambda = \frac{m^2}{Q^2}, \quad \beta_z = \sqrt{1 - \frac{4\lambda z}{1-z}}$$

$$\hat{\sigma}_B(z) = \frac{(2\pi)^2 e_Q^2 \alpha_{em}}{Q^2} z$$

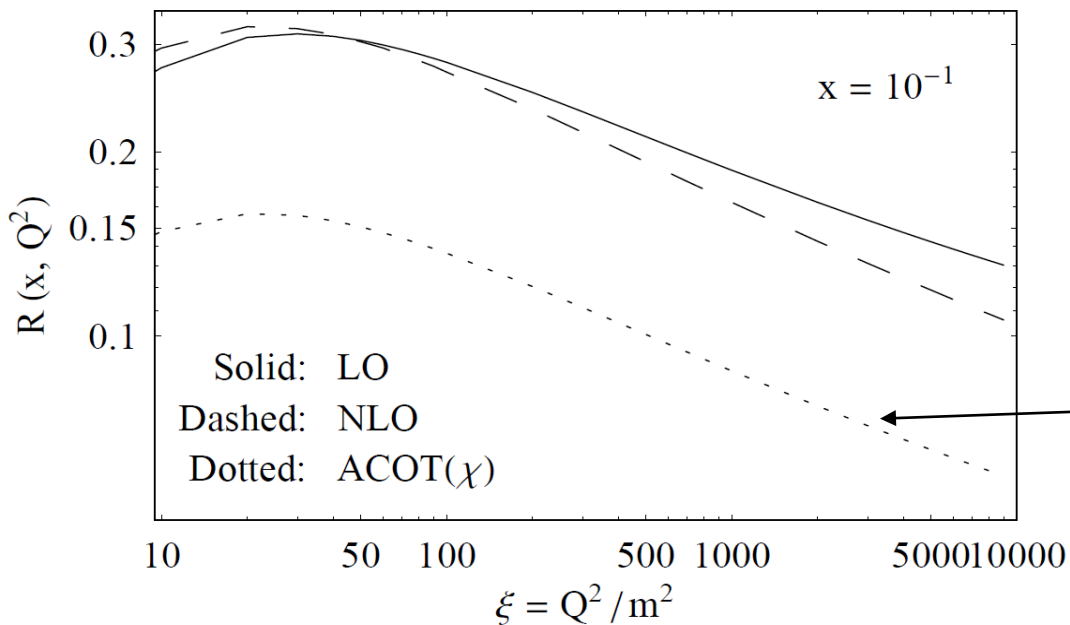


# pQCD Predictions for $F_2$ and $R$



Resummation for  $F_2$

For  $F_2$  the NLO and resummation contributions are very close



CTEQ6M PDFs are used for estimates

$$x = 10^{-1}$$

Resummation for  $R = F_L / F_T$

# Main Conclusions

1. The pQCD predictions for  $R = F_L / F_T$  and  $A = 2xF_A / F_2$  are sufficiently insensitive (to within ten percent) to:
  - ❖ radiative NLO corrections;
  - ❖ standard uncertainties in the QCD input parameters ( $\mu_R$ ,  $\mu_F$ ,  $\Lambda_{\text{QCD}}$ , and PDFs);
  - ❖ DGLAP evolution of the PDFs.
2. The quantities  $F_L / F_T$  and  $2xF_A / F_2$  are sensitive to resummation of the mass logarithms of the type  $\alpha_s \ln(Q^2 / m^2)$ .
3. High- $Q^2$  data on ratios  $R = F_L / F_T$  and  $A = 2xF_A / F_2$  will make it possible to probe the heavy-quark densities in the nucleon and thus to compare convergence of perturbative series within the **FFNS** and **VFNS**.

Thank You!